Importance of the Presence of Chloride Ions in the First Steps of Palladium-Catalyzed Nucleophilic Allylic Substitutions

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Keywords: Palladium / Halides / Allyl complexes / NMR spectroscopy / Cyclic voltammetry

$$\begin{split} &[Pd(\eta^3-C_3H_5)(\mu-Cl)]_2+4PPh_3 \quad and \quad [(\eta^3-C_3H_5)PdCl(PPh_3)]+1PPh_3 \ do \ not \ produce \ the \ cationic \ (\pi-allyl)palladium(II) \ complex \ [(\eta^3-C_3H_5)Pd(PPh_3)_2]^+Cl^- \ but \ a \ neutral \ (\sigma-allyl)palladium(II) \ chloride \ complex \ [(\eta^1-CH_2=CH-CH_2)PdCl(PPh_3)_2] \ in \ DMF \ and \ THF. \ This \ latter \ complex \ is \ also \ formed \ when \ one \ equivalent \ of \ chloride \ ion \ is \ added \ to \ [(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(BF_4)^-, \ leading \ to \ the \ conclusion \ that \ [Pd(\eta^3-C_3H_5)(\mu-Cl)]_2+4PPh_3 \ and \ [(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(BF_4)^- \ are \ not \ equivalent \ precursors \ for \ the \ palladium-catalyzed \ allylic \ substitutions \ when \ they \ are \ performed \ in \ the \ decoration \ to \ the \ t$$

absence of added chloride ions. The $(\sigma\text{-allyl})palladium(II)$ chloride complex $[(\eta^1\text{-}CH_2\text{=}CH\text{-}CH_2)PdCl(PPh_3)_2]$ is also formed instead of $[(\eta^3\text{-}C_3H_5)Pd(PPh_3)_2]^+(AcO)^-$ when the oxidative addition of allylic acetate to palladium(0) complexes, such as $[Pd^0(dba)_2]+2PPh_3$, is performed in the presence of chloride anions. A cationic $(\pi\text{-allyl})palladium(II)$ complex is thus not formed in the presence of chloride ions, which are either delivered by the catalytic precursor or deliberately added

Introduction

Palladium(0) complexes catalyze nucleophilic substitutions of allylic acetates (Tsuji-Trost reaction, Equation (1)].^[1]

$$OAc + Nu^{-} \xrightarrow{Pd} Nu + AcO^{-}$$
 (1)

The main precursors of the palladium(0) catalyst are: **A**: $[Pd^0L_4]$; **B**: $[Pd^0(dba)_2]$ (or $[Pd^0_2(dba)_3] + nL$); **C**: $[(\eta^3 - \eta^3)]$ $C_3H_5)PdL_2$ ⁺ $(BF_4)^-$, a cationic (π -allyl)palladium(II) complex which provides a palladium(0) complex in situ after reaction with the nucleophile; or **D**: $[Pd(\eta^3-C_3H_5)(\mu-\eta^3-C_3H_5)]$ $Cl)_{2} + 4 L$, a dimeric (π -allyl)palladium(II) chloride whose reaction with phosphane ligands is supposed to afford $[(\eta^3 C_3H_5)PdL_2$ ⁺Cl⁻ and eventually a palladium(0) complex after reaction with the nucleophile.[1] These four different catalytic precursors are often considered to be equivalent, leading to the same active [Pd⁰L₂] catalyst and to the same cationic $(\pi$ -allyl)palladium(II) complex C₃H₅)PdL₂]⁺(AcO)⁻ formed through oxidative addition of [Pd⁰L₂] to the allylic acetate. However, recent papers point out that Pd-catalyzed nucleophilic allylic substitutions strongly depend on the precursor of the palladium(0) catalyst in terms of reactivity, regioselectivity and enantioselectivity.[2]

We have already established that [Pd⁰L₄] or [Pd⁰(dba)₂] associated with phosphane ligands effectively provide the same species [Pd⁰L₂], which is active in oxidative additions but is present in low and nonidentical concentrations relat-

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ive to the precursor concentration because of its endergonic equilibrium with the nonreactive $[Pd^0L_3]$ or $[Pd^0(dba)L_2]$ complexes, respectively. Consequently, $[Pd^0(PPh_3)_4]$ was found to be more efficient than $\{[Pd^0(dba)_2] + 2PPh_3\}$ in allylic nucleophilic substitutions. Conversely, the nucleophilic attack on precursor C, the cationic $(\pi$ -allyl)palladium(II) complex $[(\eta^3-C_3H_5)PdL_2]^+(BF_4)^-$ is expected to provide $[Pd^0L_2]$ in stoichiometric amounts relative to the precursor. Therefore, the three precursors A, B and C should behave differently in terms of the kinetics of their oxidative addition to an allylic acetate, but should give the same cationic complex $[(\eta^3-C_3H_5)PdL_2]^+(AcO)^-$, the key intermediate prone to react with the nucleophile.

When considering precursor **D**, i.e., $\{[Pd(\eta^3-C_3H_5)(\mu-\eta^3-C_5H_5)(\mu-\eta^3-C_5H_5)(\mu-\eta^3-C_5H_5)(\mu-\eta^3-C_5H_5)(\mu$ $Cl)_{2} + 4L$, one equivalent of chloride ion is now present although its role is very often neglected. However, this chloride ion may introduce a change in the mechanism. Indeed, we have already established the influence of anions, chloride or acetate, delivered by the precursors of the Pd⁰ catalyst on the mechanism of palladium-catalyzed crosscoupling^[5a-5c] and Heck reactions.^[5b-5d] In this context, it has been observed that deliberately added chloride ions induce stereocontrol in palladium-catalyzed substitutions of cyclic allylic acetates. [6] Recent papers report the beneficial effect of added halides on the regioselectivity of the allylic nucleophilic substitution, [7] as well as on its enantioselectivity.^[7b,8] In other cases, a negative effect of added chloride ions on the enantioselectivity has been reported and interpreted as a partial destruction of the memory effect due to the formation of asymmetric ion-pairs between the cationic $(\pi$ -allyl)palladium(II) complex and acetate anion.^[2]

We report here an investigation on the effect of chloride ions on the first steps in the mechanism of palladium-catalyzed allylic substitution, leading to the conclusion that pre-

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cursor **D** behaves quite differently from precursors **A**, **B**, and **C**. Cationic (π -allyl)palladium intermediates are not formed from **D** or when chloride anions are voluntary added to any catalytic system **A**, **B** or **C**.

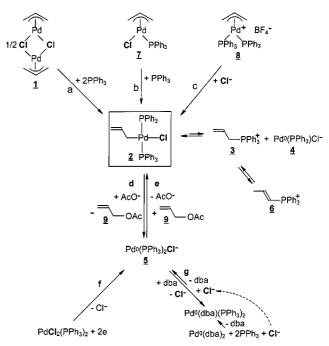
Results and Discussion

Reaction of $[Pd(\eta^3-C_3H_5)(\mu-Cl)]_2$ (1) with 4PPh₃ in DMF

When four equivalents of PPh₃ (2 equiv. of L per Pd) were added to a solution of the dimeric $(\pi\text{-allyl})$ palladium(II) chloride 1 in CDCl₃, the three ¹H NMR signals observed for the free complex 1 (Table 1) totally disappeared.

Neither the five signals of $[(\eta^3-C_3H_5)PdCl(PPh_3)]$ (7) (Table 1) nor the three signals of a cationic $(\pi$ -allyl)palladium(II) $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+Cl^-$ (Table 1) were detected. Instead, a main set of two signals was observed: a quintet (1 H, J=10 Hz) and a broad doublet (4 H, J=10 Hz). They are characteristic of the $(\sigma$ -allyl)palladium chloride complex $[(\eta^1-CH_2=CH-CH_2)PdCl(PPh_3)_2]$ (2) (Table 1, Scheme 1, route a) as already observed by Shaw et al. [9] Such an NMR pattern is characteristic of a fast equilibrium between two σ forms of 2 [Equation (2)] responsible for the magnetic equivalence of the four CH_2 protons. [9,10]

$$CH_2=CH-C^*H_2-Pd-Cl(PPh_3)_2 \quad \longleftarrow \quad C^*H_2=CH-CH_2-Pd-Cl(PPh_3)_2$$
 (2)



Scheme 1. Formation of a (σ-allyl)palladium chloride complex

The ³¹P NMR spectrum exhibits a single, broad major signal at $\delta = 20.75$ ($\Delta v_{1/2} = 50$ Hz) in DMF and $\delta = 19.85$ ($\Delta v_{1/2} = 67$ Hz) in CDCl₃, which is characteristic of the (σ -allyl)palladium chloride complex **2**.

Extra ¹H NMR signals were also observed and assigned to the allytriphenylphosphonium cation **3** (Table 1, Scheme 1) by comparison with an authentic sample of the

Table 1. Characterization of C₃H₅-Pd^{II} complexes and C₃H₅-PPh₃⁺ cations (Scheme 1)

	¹ H NMR (ppm) ^[a]	³¹ P NMR (ppm) ^[b] DMF	THF	$E_{\rm Red}^{\rm p} ({ m volts})^{[c]} \ { m DMF}$
1 ^[d]	3.04 (d, $J = 12 \text{ Hz}, 2 \text{ H}$) 4.12 (d, $J = 6.7 \text{ Hz}, 2 \text{ H}$) 5.46 (c) ft $J = 12 \text{ and } 6.7 \text{ Hz}, 1 \text{ Hz}$)	-	-	n.o.
2 ^{[e][f][g]}	5.46 (t of t, $J = 12$ and 6.7 Hz, 1 H) 3.72 (br.d, $J = 10$ Hz, 4 H) 5.62 (quintet, $J = 10$ Hz, 1 H)	20.75 (br. s) ^[e] 20.50 (br. s) ^[f] 20.24 (br. s) ^[g]		$-2.03^{[g]}$ $-2.04^{[h]}$
2 ^{[e][i]}	3.61 (d, $J = 10 \text{ Hz}$, 4 H)		11.85 (br. s) ^[e]	-2.04 th
3 [e,f,g]	5.73 (quintet, $J = 10$ Hz, 1 H) 4.92 (dd, $J = 15$ and 7 Hz, 2 H) 5.38 (dd, $J = 9.5$ and 4.5, 1 H) 5.56 (dd, $J = 17$ and 4.5, 1 H)	$22.00 \text{ (s)}^{[e]}$ $21.72 \text{ (s)}^{[f]}$ $21.74 \text{ (s)}^{[g]}$		-1.54 ^[g] -1.53 ^[h]
6 [e,f,g]	2.37 (ddd, $J = 6.5$, 1.9 and 1.9 Hz, 3 H) 6.60 (ddq $J = 22$, 16 and 6.5 Hz, 1H) 8.02 (ddq $J = 24$, 16 and 1.9 Hz, 1H)	$19.35 (s)^{[e]}$ $19.10 (s)^{[f]}$ $19.12 (s)^{[g]}$		-1.33
7 ^[d]	2.83 (d, $J = 14$ Hz, 1 H) 3.11 (d, $J = 7$ Hz, 1 H) 3.77 (dd, $J = 14$ and 10 Hz, 1 H) 4.76 (ddd, $J = 7$, 7 and 1 Hz, 1 H)	22.77 (s)	22.43 (s)	-1.88
8 [d]	5.61 (ddt, $J = 14$, 14 and 7 Hz, 1 H) 3.58 (m, 2 H) 3.97 (bd, $J = 6.7$ Hz, 2 H) 5.97 (tt, $J = 13.5$ and 6.7 Hz, 1 H)	23.99 (s)	24.53 (s)	-1.25

 $^{^{[}a]}$ 250 MHz; shifts are referred to TMS. Solvent: CDCl $_3$ except $^{[i]}$. For simplification, aromatic protons are voluntarily omitted. $^{[b]}$ 163 MHz; shifts are referred to $^{[b]}$ 4. The solvent contains $^{[b]}$ $^{[b]}$ $^{[b]}$ except $^{[c]}$ Peak potentials at a gold disk electrode are relative to SCE. Scan rate: $^{[b]}$ 4. $^{[b]}$ 4. $^{[b]}$ 4. $^{[c]}$ 5. Formed in reaction of $^{[c]}$ 7. Formed in reaction of $^{[c]}$ 7. Formed in reaction of $^{[c]}$ 8. With 1 equiv. PPh $_3$. $^{[g]}$ 5. Formed in reaction of $^{[c]}$ 8. Formed in the oxidative addition of the electrogenerated Pd $^{[c]}$ 7. Formed in the allylic acetate $^{[c]}$ 8. Solvent: $^{[c]}$ 8. Formed in $^{[c]}$ 9. Formed in $^{[c]}$

chloride salt $3 \cdot \text{Cl.}^{[12]}$ However, a slight shift of the CH_2-P^+ signal to higher field suggested that chloride was not the counter anion of $3.^{[13]}$ Considering that the phosphonium cation 3 was generated from the $(\sigma$ -allyl)palladium complex 2 by a kind of reductive elimination [Equation (3)], this implies that a palladium(0) complex 4 is also formed concomitantly.

This interpretation is confirmed by voltammetric data. Indeed, performing cyclic voltammetry in DMF on a solution of 1 (2 mm) and 4 equivalents of PPh₃, revealed the presence of an oxidation peak at -0.03 V which characterizes a palladium(0) complex: it disappeared in the presence of PhI. Moreover, Equation (3) has to be an equilibrium since the ratio 2:3, (initially equal to 3, as determined from the ¹H NMR spectroscopic data) decreases in the presence of increasing amounts of PPh₃ or dba. The effect of extra PPh₃ or dba is to stabilize the low ligated palladium(0) complex formed in Equation (3) as a more stable complex $[Pd^0(PPh_3)_nCl]^{-}$ (n = 2, 3)^[14] or $[Pd(dba)(PPh_3)_2]^{[3]}$ inducing then a shift of the equilibrium in Equation (3) towards its right-hand side.

The conductivity of a solution of 1 (2 mm) and 4 equivalents of PPh₃ was measured in DMF at 20 °C and found to be 78 μ S. This shows the formation of ionic species in DMF in agreement with the formulation in Equation (3). The conductivity increases upon successive additions of PPh₃ because of the shift of the equilibrium towards its right hand-side by stabilization of the palladium(0) complex 4 (vide supra). A conductivity of only 2 μ S was measured for the same solution in CDCl₃, indicating that 4 and 3 (whose ¹H NMR signals are detected in CDCl₃) are ion-paired in this less polar solvent.

After two hours, a set of three ¹H NMR signals appeared and were assigned to the 3-propenyltriphenylphosphonium cation **6** by comparison with an authentic sample of **6**·Cl^[12] (Table 1, Scheme 1). This cation was formed by the slow isomerization of **3**. The ³¹P NMR signals of **6** and **3** were also detected in DMF (Table 1) and CDCl₃. The stability of **2** strongly depends on the phosphane ligand. The thermodynamic ability of **2** to form phosphonium salts is higher when the phosphane is more basic, consequently the stability of **2** follows the order:

$$(p\text{-CF}_3C_6H_4)_3P > (p\text{-ClC}_6H_4)_3P > (p\text{-HC}_6H_4)_3P > (p\text{-CH}_3C_6H_4)_3P$$

This set of experiments establishes that addition of four equivalents of PPh₃ per mol of 1 (precursor **D**) does not lead to the expected cationic π -allylpalladium complex [(η^3 -C₃H₅)PdL₂]+Cl⁻ but to the σ -allylpalladium complex [(η^1 -CH₂=CH-CH₂)PdCl(PPh₃)₂] (2) (Scheme 1, route a). This main complex is involved in an equilibrium with a palla-

dium(0) complex, an allylphosphonium salt and a 3-propenylphosphonium salt at longer times (1 h).

The mechanism of the formation of the $(\sigma\text{-allyl})$ palladium complex $[(\eta^1\text{-CH}_2=\text{CH}-\text{CH}_2)\text{PdCl}(\text{PPh}_3)_2]$ (2) cannot be established since no intermediate was observed as its formation from 1 and 4PPh₃ is too fast. It is known, however, that when only two equivalents of PPh₃ are added to 1, a neutral $(\pi\text{-allyl})$ palladium chloride complex $[(\eta^3\text{-C}_3\text{H}_5)\text{PdCl}(\text{PPh}_3)]$ (7) is formed. [9] Compound 7 is thus a possible intermediate in the formation of 2. We have therefore investigated the reaction of 7 with one equivalent of added PPh₃.

Reaction of $[(\eta^3-C_3H_5)PdCl(PPh_3)]$ (7) with PPh₃ in DMF

Analysis of a solution of 7 containing one equivalent of PPh₃ in CDCl₃ or DMF by 1H and ^{31}P NMR spectroscopy and conductivity measurements showed the same chemical behavior as for the mixture $\{1+4PPh_3\}$ (Table 1), i.e., no formation of the cationic $(\pi\text{-allyl})$ palladium complex $[(\eta^3\text{-}C_3H_5)PdL_2]^+Cl^-$ but formation of the $(\sigma\text{-allyl})$ palladium chloride complex $[(\eta^1\text{-}CH_2\text{-}CH\text{-}CH_2)PdCl(PPh_3)_2]$ (2) involved in the equilibrium in Equation (3) (Scheme 1, route b).

Since the cationic (π -allyl)palladium complex [(η^3 - C_3H_5)PdL₂]⁺Cl⁻ was not generated, it was of interest to test the reactivity of chloride ions with the cationic (π -allyl)palladium complex [(η^3 - C_3H_5)PdL₂]⁺(BF₄)⁻ (8).

Reaction of $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(BF_4)^-$ 8 with Chloride Ions in DMF

Addition of one equivalent of nBu_4NCl to a solution of the cationic (π -allyl)palladium complex [(η^3 -C₃H₅)-PdL₂]⁺(BF₄)⁻ (8) in CDCl₃ or DMF induced the complete disappearance of 8 with formation of the (σ -allyl)palladium chloride complex [(η^1 -CH₂=CH-CH₂)PdCl(PPh₃)₂] (2) by attack of the chloride ion at the cationic Pd^{II} center (Scheme 1, route c; Table 1). In agreement with the above results, the allyltriphenylphosphonium cation 3 was also formed in this process [Equation (3)].

When less than one equivalent of chloride ion (0.2 equiv.) was added to $\bf 8$, the three signals of $\bf 8$ became broad and overlapped the two signals of $\bf 2$. This suggests that the reaction c in Scheme 1 is a dynamic equilibrium lying towards $\bf 2$ so that it is totally shifted towards the (σ -allyl)palladium chloride $\bf 2$ as soon as one equivalent of chloride ions are added to $\bf 8$.

Reaction of $[(\eta^1-CH_2=CH-CH_2)PdCl(PPh_3)_2]$ (2) with Acetate Ions in DMF

Acetate anions are continuously released in a palladium-catalyzed substitution at allylic acetates [Equation (1)]. These released acetate ions may conceivably compete with chloride ions for the stabilization of Pd^{II} complexes. We have thus investigated the reaction of acetate ions with the σ -allylpalladium chloride, [(η^1 -CH₂=CH-CH₂)PdCl-(PPh₃)₂] (2). When one equivalent of nBu_4NOAc was added to 2 (preliminarily formed by reaction of 8 with one equiva-

lent of nBu_4NCl), the allylic acetate **9** (19%) was observed on the 1H NMR spectrum performed in CDCl₃. It was checked independently that nBu_4NOAc did not react with commercial **3**·Cl to produce the allylic acetate by a direct nucleophilic substitution. Note, however, that AcO^- catalyzes the isomerization of **3**·Cl to **6**·Cl, but without formation of **9**. This shows that the (σ -allyl)palladium chloride complex **2** is attacked by the acetate ion to produce allylic acetate (Scheme 1, route d), probably by reaction of the acetate anion at the allyl ligand by an S_N2' reaction [Equation (4)].

This reaction affords an anionic species [Pd⁰(PPh₃)₂Cl]⁻ (5).^[14] Such an anionic Pd⁰ complex can be generated by reduction of [PdCl₂(PPh₃)₂] (Scheme 1, route f).^[14] It was thus of interest to investigate the oxidative addition of 5 to allylic acetate 9, which is the backward reaction of Equation (4).

Oxidative Addition of [Pd⁰(PPh₃)₂Cl]⁻ to Allylic Acetate in DMF

The electrochemical bielectronic reduction [PdCl₂(PPh₃)₂] (2 mm in DMF) at -0.88 V produced [Pd⁰(PPh₃)₂Cl]⁻ (5) characterized by its oxidation peak at +0.10 V. In the presence of the allylic acetate 9 (4 mm) the oxidation peak current of the electrogenerated palladium(0) decreased, showing a partial reaction of 5 with 9. This also released AcO⁻ in solution as characterized by the observation of its oxidation peak at +0.68 V. If the cationic $[(\eta^3 - \eta^3 - \eta^3)]$ $(C_3H_5)Pd(PPh_3)_2^+(AcO)^-$ (10) complex were formed in the oxidative addition, its reduction should be observed at around -1.25 V, which is the reduction potential of an authentic sample of $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(BF_4)^-$ (8). Such a reduction peak was not observed, but instead, two reduction peaks were present at more negative potentials, -1.53V and -2.04 V, showing that the formation of 10 was bypassed. The same couple of reduction peaks was also observed when two equivalents of chloride ions were added to $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(BF_4)^-$ (8), a reaction which affords the $(\sigma$ -allyl)palladium chloride 2 and the phosphonium cation 3 with Pd⁰(PPh₃)Cl⁻ as the counter-anion [Equation (3]. The reduction peak at -1.53 V was then assigned to the reduction of 3 by comparison with an authentic sample of 3·Cl, whereas the reduction peak at -2.02 V was assigned to the reduction peak of the (σ-allyl)palladium chloride complex 2.

This establishes that the oxidative addition of $[Pd^0(PPh_3)_2Cl]^-$ to allylic acetate takes place (backward reaction of Equation (4), route e in Scheme 1) and does not afford the cationic $(\pi\text{-allyl})$ palladium complex $[(\eta^3\text{-}C_3H_5)Pd(PPh_3)_2]^+(AcO)^-$ (10) but the $(\sigma\text{-allyl})$ palladium chloride $[(\eta^1\text{-}CH_2=CH-CH_2)PdCl(PPh_3)_2]$ (2) by the reversible overall reaction shown in Equation (5) (Scheme 1, route f,e,d). [15]

$$Pd^{0}(PPh_{3})_{2}Cl^{-} + CH_{2}=CH-CH_{2}-OAc \longleftrightarrow (\eta^{1}-C_{3}H_{5})PdCl(PPh_{3})_{2} + AcO$$
(5)

However, $[PdCl_2(PPh_3)_2]$ is not often used as a precursor of Pd^0 in allylic substitutions because most nucleophiles active in the allylic substitutions are not able to reduce the Pd^{II} precursor to Pd^0 . We have thus investigated the effect of chloride ions on the oxidative addition to allylic acetate starting from another catalytic system: $\{[Pd^0(dba)_2] + 2PPh_3\}$ often used as a precursor of Pd^0 catalysts. [1,16]

Oxidative Addition of {[Pd⁰(dba)₂] + 2PPh₃} to Allylic Acetate in the Presence of Chloride Ions in DMF

We have established that the oxidative addition of $[Pd^0(dba)_2] + 2PPh_3$ to the allylic acetate **9** is reversible and provides a cationic $(\pi\text{-allyl})$ palladium complex $[(\eta^3-C_3H_5)PdL_2]^+(AcO)^-$ **(10)** in DMF (free ions) and in THF (ion pairs) (Equations 6–8).^[17] This gives rise to the overall equilibrium in Equation (9) which may be monitored by UV spectroscopy.^[17]

$$Pd^{0}(dba)_{2} + 2 PPh_{3} \longrightarrow Pd^{0}(dba)(PPh_{3})_{2} + dba$$
 (6)

$$Pd^{0}(dba)(PPh_{3})_{2} + dba$$
 (7)

$$Pd^{0}(PPh_{3})_{2} + CH_{2}=CH-CH_{2}-OAc \iff (\eta^{3}-C_{3}H_{5})Pd(PPh_{3})_{2}^{+} + AcO^{-}$$
 (8)

$$Pd^{0}(dba)(PPh_{3})_{2} + CH_{2}=CH-CH_{2}-OAc \longleftrightarrow (9)$$

 $(\eta^{3}-C_{3}H_{5})Pd(PPh_{3})_{2}^{+} + AcO^{-} + dba$

When nBu_4NCl (750 equiv.) was added to a solution of $[Pd^0(dba)_2]$ (1 mm) and 2PPh₃ in DMF, the absorbance of $[Pd^0(dba)(PPh_3)_2]$ at 396 nm decreased and reached a limiting value (70% of the initial absorbance) establishing the interference of chloride ions in the equilibrium in Equation (7), which is in agreement with the known stability of the anionic complex $Pd^0(PPh_3)_2Cl^{-[14]}$ [Equation (10)]. It is worthwhile to note that a large amount of nBu_4NCl is required to observe a significant decrease of the $[Pd^0(dba)(PPh_3)_2]$ concentration. This indicates that dba is a better ligand for $[Pd^0(PPh_3)_2]$ than Cl^- , and that at low chloride concentration, $[Pd^0(PPh_3)_2Cl]^-$ is only present at trace levels.

$$Pd^{0}(dba)(PPh_{3})_{2} + Cl^{-} + C$$

When 60 equivalents of $CH_2=CH-CH_2-OAc$ were added to a solution of $[Pd^0(dba)_2] + 2PPh_3$ in DMF, the absorbance of $[Pd^0(dba)(PPh_3)_2]$ at 396 nm decreased and reached a limiting value corresponding to the thermodynamic

concentration of $[Pd^0(dba)(PPh_3)_2]$ imposed by the equilibrium in Equation (9) (Figure 1a, t < 70 s).

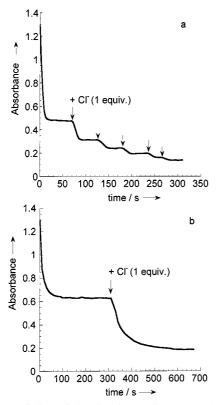


Figure 1. a) Variation of the absorbance ($\lambda = 396$ nm) of the UV spectrum performed in DMF at 20 °C in a 1 mm path cell of a solution of [Pd⁰(dba)₂] (1 mmol dm⁻³) and PPh₃ (2 mmol dm⁻³) after addition of 60 equivalents of CH₂=CH-CH₂-OAc (t < 70 s), followed by successive additions of nBu_4NCl (one equivalent at each addition as indicated by the arrows; b) same experiment performed in THF ($\lambda = 394$ nm) after addition of 72 equivalents of CH₂=CH-CH₂-OAc (t < 300 s), followed by addition of one equivalent of nBu_4NCl as indicated by the arrow

When one equivalent of nBu₄NCl was added, the limiting absorbance decreased to a lower value; it again decreased by successive additions of one equivalent of nBu₄NCl (Figure 1a). This shows that the chloride anion reacts with $[(\eta^3 C_3H_5)PdL_2$ +(AcO) (10) formed in the oxidative addition [Equation (9)], shifting this equilibrium to give the total disappearance of $[Pd^0(dba)(PPh_3)_2]$. This reaction gave the (σ allyl)palladium complex $[(\eta^1-CH_2=CH-CH_2)PdCl(PPh_3)_2]$ (2) [Equation (11)], which was characterized by performing ^{1}H **NMR** spectroscopy on solution a $[Pd^{0}(dba)_{2}] + 2PPh_{3}$ in CDCl₃ after addition of 9 (3 equiv.) followed by nBu₄NCl (3 equiv.).

$$[(\eta^3 - C_3H_5)Pd(PPh_3)_2]^+(AcO)^- + CI^- \longrightarrow (\eta^1 - C_3H_5)PdCI(PPh_3)_2 + AcO^-$$
 (11)

However, AcO⁻ anions are released in reaction (11), so that a reaction similar to route d of Scheme 1 should operate, giving rise to the formation of [Pd⁰(dba)(PPh₃)₂] as the main Pd⁰ complex [Equation (12)] instead of [Pd⁰(PPh₃)₂Cl]⁻.

$$(\eta^{1}-C_{3}H_{5})PdCl(PPh_{3})_{2} + AcO^{-} + dba \longrightarrow Pd^{0}(dba)(PPh_{3})_{2} + CH_{2}=CH-CH_{2}-OAc + Cl^{-}$$
 (12)

This has been checked independently by addition of *n*Bu₄NOAc (1 equiv.) and dba (2 equiv.) to complex **2** (preliminary formed by reaction of **8** and one equivalent of *n*Bu₄NCl). The formation of 19% of allylic acetate was then observed in the ¹H NMR spectrum performed in CDCl₃, together with [Pd⁰(dba)(PPh₃)₂], characterized by its two ³¹P NMR signals in CDCl₃ and DMF^[18] [Equation (12)].

Therefore, the oxidative addition of allylic acetate to the palladium(0) generated from $[Pd(dba)_2] + 2PPh_3$ and performed in the presence of chloride ions in DMF is a reversible reaction which affords a $(\sigma\text{-allyl})$ palladium chloride complex [Equation (13)] and not a cationic $(\pi\text{-allyl})$ palladium(II) complex $[(\eta^3\text{-}C_3H_5)PdL_2]^+X^-$ (X = Cl or AcO). [19]

$$Pd^{0}(dba)(PPh_{3})_{2} + CH_{2}=CH-CH_{2}-OAc + CI^{-} \longleftrightarrow (\eta^{1}-C_{3}H_{5})PdCI(PPh_{3})_{2} + AcO^{-} + dba$$
 (13)

Formation of [(η¹-CH₂=CH-CH₂)PdCl(PPh₃)₂] in THF

The reaction of the dimeric $(\pi$ -allyl)palladium(II) chloride 1 with four equivalents of PPh3 in [D8]THF afforded the (σ -allyl)palladium chloride complex [(η^1 -CH₂= CH-CH₂)PdCl(PPh₃)₂] (2) (Table 1, Scheme 1, route a) characterized by its two signals, a quintet (1 H, J = 10 Hz) and a doublet (4 H, J = 10 Hz) (Table 1) as observed in DMF (vide supra). The ³¹P NMR spectrum performed in THF exhibited a single, broad major signal ($\Delta v = 135 \text{ Hz}$, Table 1) whose shift strongly depended on the solvent. Indeed, when DMF was added (THF:DMF = 1:1), the 31 P NMR signal shifted from $\delta = 11.85$ to 15.35. The phosphonium cation 3 was not observed in pure THF, even at longer times (over 1 h). It is only after addition of two equivalents of dba that the phosphonium cations 3 and 6 could be detected on the ³¹P NMR spectrum, together with $[Pd^{0}(dba)(PPh_{3})_{2}]$ ($\delta = 27.34$ and 25.57). This shows that the equilibrium shown in Equation (3) is still operating in THF but is considerably less shifted towards its right-hand side than in DMF, probably because THF is a weaker ligand than DMF for [Pd⁰PPh₃)Cl⁻] and a weaker solvent for the phosphonium cations 3 and 6.

Reaction of $[(\eta^3-C_3H_5)PdCl(PPh_3)]$ (7) with one equivalent of PPh₃ or $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(BF_4)^-$ (8) with one equivalent of nBu_4NCl in THF afforded the $(\sigma$ -allyl)palladium chloride complex 2 (Scheme 1, route b and c, respectively). As in DMF, no cationic $(\pi$ -allyl)palladium is formed in THF from 1, 7, and 8, in the presence of chloride ions delivered either by the precursors (1 or 7) or deliberately added (to 8). The three precursors provide the same $(\sigma$ -allyl)palladium chloride complex 2 (Scheme 1a,b,c).

When 72 equivalents of $CH_2=CH-CH_2-OAc$ were added to a solution of $[Pd^0(dba)_2] + 2PPh_3$ in THF, the absorbance of $[Pd^0(dba)(PPh_3)_2]$ at 394 nm decreased and reached a limiting value corresponding to the thermodynamic concentration of $[Pd^0(dba)(PPh_3)_2]$ imposed in the equilibrium shown in Equation (14) (Figure 1b, t < 300 s). [17] This equilibrium is similar to that observed in DMF [Equation (9)] except for the ionic species' ion-pairing.

$$Pd^{0}(dba)(PPh_{3})_{2} + CH_{2}=CH-CH_{2}-OAc \iff (\eta^{3}-C_{3}H_{5})Pd(PPh_{3})_{2}^{+}AcO^{-} + dba$$
 (14)

When one equivalent of nBu_4NCl was added, the absorbance decreased to almost zero (Figure 1b). This shows that the reaction of one chloride ion with $[(\eta^3-C_3H_5)PdL_2]^+(AcO)^-$ (10) formed in the oxidative addition [Equation (14)] was more efficient than in DMF, shifting this equilibrium to give the total disappearance of $[Pd^0(dba)(PPh_3)_2]$ as soon as one equivalent of Cl^- were added (compare Figure 1a and 1b). This establishes that the reversible overall reaction in Equation (13) is shifted more towards its right-hand side in THF than in DMF.

Formation of [(\eta^1-Ph-CH=CH-CH_2)PdCl(PPh_3)_2]

When four equivalents of PPh₃ were added to a solution of the dimeric $(\pi\text{-cinnamyl})$ palladium(II) chloride [Pd($\eta^3\text{-Ph-C}_3\text{H}_4$)($\mu\text{-Cl}]_2$ (11) in CDCl₃, the four nonaromatic ^1H NMR signals characteristic of complex 11 (Table 2) totally disappeared and three new ^1H NMR signals were observed and assigned to the $(\sigma\text{-cinnamyl})$ palladium(II) chloride complex 12 [$(\eta^1\text{-Ph-CH=CH-CH}_2)$ PdCl(PPh₃)₂] (Scheme 2, route a).

Table 2. Characterization of cinnamyl-Pd^{II} complexes (Scheme 2)

	¹ H NMR (ppm) ^[a]	
11 ^[b]	2.97 (d, $J = 12 \text{ Hz}$, 1 H) 3.90 (d, $J = 6.7 \text{ Hz}$, 1 H) 4.55 (d, $J = 11.5 \text{ Hz}$, 1 H)	
12 ^{[c][d]}	5.73 (ddd, $J = 12$, 11.5 and 6.7 Hz, 1 H) 3.22 (d, $J = 10$ Hz, 2 H) 5.45 (d, $J = 13$ Hz, 1 H) 6.10 (dt, $J = 10$ and 13 Hz, 1 H)	
13 ^[b]	3.68 (t, $J = 6.5$ Hz, 1 H) 3.80 (pseudo t, $J = 10$ Hz, 1 H) 5.45 (pseudo t, $J = 12$ Hz, 1 H) 6.41 (m, 1 H)	

^[a] 250 MHz; shifts are referred to TMS. Solvent: CDCl₃. For simplification, aromatic protons are voluntarily omitted. - ^[b] Authentic sample. - ^[c] Formed in reaction of 11 with 4 equiv. PPh₃. - ^[d] Formed in reaction of 13 with 1 equiv. nBu_4NCl .

Scheme 2. Formation of a $(\sigma$ -cinnamyl)palladium chloride complex

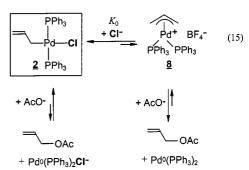
This reaction also gives the phosphonium cation Ph-CH=CH-CH₂-PPh₃⁺ (24%) as in the allyl series (see

above, Scheme 1). In contrast to the $(\sigma\text{-allyl})$ palladium(II) chloride complex 2, which was found to be involved in a fast equilibrium between two σ -forms [Equation (2)], the $(\sigma\text{-cinnamyl})$ palladium(II) chloride complex 12 is not involved in such an equilibrium (or the equilibrium is very slow) because of the phenyl substituent. Indeed, the ¹H NMR spectrum (three different types of non aromatic ¹H with an integration of 1:1:2, Table 2) is clearly consistent with a complex of structure Ph-CH=CH-CH₂-PdClL₂ and not with the isomerized form Ph-CH(PdClL₂)-CH=CH₂ whose ¹H NMR spectrum should exhibit four different non aromatic signals with the same integration.

Complex 12 was also formed when one equivalent of nBu_4NCl was added to a solution of the cationic (π -cinnamyl)palladium complex 13 in CDCl₃ (Scheme 2, route b) whose four 1H NMR signals were no longer detected (Table 2).

About the Permanence of the Cl⁻ Effect in the Presence of Increasing Amounts of AcO⁻ Produced During a Catalytic Reaction

In the case where a catalytic reaction is initiated by the precursor mixture $[Pd(\eta^3-C_3H_5)(\mu-Cl)]_2$ (1) + 4PPh₃, the chloride ion concentration is low compared to that of the acetate ions, which are progressively released from the allylic acetate when the catalytic reaction proceeds. One may think that our present results might be affected as a function of the conversion. However, this is not the case. Indeed, it is important to stress that the relative concentration of the $(\sigma$ -allyl)palladium(II) chloride complex 2 versus the $(\pi$ -allyl)palladium(II) complex 8 is not affected by the acetate concentration but only depends on the chloride concentration [Scheme 3, reaction (15)].



Scheme 3

The (σ -allyl)palladium(II) chloride complex **2** is quantitatively formed from $\{1 + 4PPh_3\}$, and as well as when one equivalent of Cl⁻ is added to the cationic complex **8** (see above) so that the equilibrium between **2** and **8** [Scheme 3, Equation (15)] lies considerably in favor of **2** with $K_0 \times [Cl^-] >> 100$. The nucleophile may then react with either **2** and **8**, or with both complexes in parallel. In the presence of AcO⁻, two competitive reversible reactions occur, which lead to the formation of the allylic acetate (Scheme 3). But provided that reaction (15) in Scheme 3 remains at equilibrium, these reactions do not affect the relative concentration of **2** and **8**, since it only depends on Cl⁻ concentration

and not on $[Cl^-]/[AcO^-]$. One therefore has: $[2]/[8] = K_0 \times [Cl^-] >> 100$, whatever the AcO⁻ concentration. The effect of AcO⁻ concentration is only to decrease the absolute concentrations of **2** and **8** but not their ratio, so that the nature of the reactive species, which reacts with the nucleophile, will not be affected by the acetate concentration but will only depend on the intrinsic reactivity of the nucleophile with **2** or **8** and on K_0 and the Cl^- concentration, which determine the respective concentrations of **2** and **8**. When reaction (15) is displaced from its equilibrium by the combined actions of OAc^- and the nucleophile, the kinetic situation becomes much more intricate. The comparative reactivity of a nucleophile with cationic $[(\eta^3$ -allyl) $PdL_2]^+(BF_4)^-$ [20] complexes or with the related complexes $[(\eta^1$ -allyl) $PdClL_2$ is under investigation.

Conclusion

In DMF or THF, the cationic (π -allyl)palladium complex $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+Cl^-$ is not formed from $[Pd(\eta^3-C_3H_5)(\mu-Cl)]_2 + 4PPh_3$, $[(\eta^3-C_3H_5)PdCl(PPh_3)] + 1PPh_3$ or $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(BF_4)^- + 1Cl^-$. Instead, these three precursors lead to the formation of the same (σ -allyl)palladium chloride complex $[(\eta^1-CH_2=CH-CH_2)PdCl(PPh_3)_2]$ (Scheme 1a,b,c). Moreover, the latter complex is also formed instead of $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(AcO)^-$ when the oxidative addition of palladium(0) complexes to the allylic acetate is performed in the presence of chloride ions, either already ligated to the palladium(0) complex (when $[PdCl_2(PPh_3)_2]$ is the Pd^0 precursor) or deliberately added to a chloride-free palladium(0) complex (when $[Pd(dba)_2] + 2PPh_3$ is the Pd^0 precursor) (Scheme 1f,g,d,e).

This emphasizes the crucial role of presumably "innocent", ligands such as chloride ions, which do not behave as simpler counter-anions of cationic (π -allyl)palladium(II) complexes^{[2][7b]} but modify the chemical structure of reactive intermediates by formation of neutral (σ -allyl)palladium(II) chloride complexes. This also shows that the catalytic precursors of Tsuji—Trost reactions, i.e., $[Pd(\eta^3-C_3H_5)(\mu-Cl)]_2 + 4PPh_3$ or $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(BF_4)^-$ are not equivalent since the former system does not lead to the latter complex due to the presence of chloride in its structure. They become equivalent only when chloride ions are added to $[(\eta^3-C_3H_5)Pd(PPh_3)_2]^+(BF_4)^-$ but then both provide a neutral $(\sigma$ -allyl)palladium(II) chloride.

Therefore, among the four catalytic precursors **A**: $[Pd^0L_4]$, **B**: $[Pd^0(dba)_2] + nL$, **C**: $[(\eta^3-C_3H_5)PdL_2]^+(BF_4)^-$, and **D**: $[Pd(\eta^3-C_3H_5)(\mu-Cl)]_2 + 4PPh_3$, the precursor **D** behaves quite differently. Indeed, the intermediate prone to react with the nucleophile is not a cationic $(\pi$ -allyl)palladium(II) complex, as usually assumed, but a neutral $(\sigma$ -allyl)palladium(II) chloride. Consequently, this may affect the regioselectivity of the reaction as well as its enantioselectivity in the case of substituted allylic acetates and chiral ligands. The formation of a neutral $(\sigma$ -allyl)palladium(II) chloride should then be taken into consideration when

chloride anions are purposely added to any Pd-catalyzed nucleophilic allylic substitution.

Experimental Section

General Remarks: ^{31}P NMR spectra were recorded on a Bruker spectrometer (101 MHz) using $H_{3}PO_{4}$ as an external reference. – ^{1}H NMR spectra were recorded on a Bruker spectrometer (250 MHz) using TMS as an internal reference. – UV spectra were recorded on a DU 7400 Beckman spectrophotometer. – Cyclic voltammetry was performed with a home made potentiostat and a wave form generator Tacussel GSTP4. The cyclic voltammograms were recorded on a Nicolet 301 oscilloscope. – Conductivity was measured on a Tacussel CDM210 conductivity meter (cell constant = 1 cm $^{-1}$).

Chemicals: DMF was distilled from calcium hydride under vacuum and THF from sodium/benzophenone. Commercial allylic acetate $CH_2=CH-CH_2-OAc$ (Acros) was used after filtration through alumina. Commercial $(\pi\text{-allyl})$ palladium(II)chloride dimer complex, $[Pd(\eta^3\text{-}C_3H_5)(\mu\text{-}Cl)]_2$ (Acros) was used without any purification. $[(\eta^3\text{-}C_3H_5)PdCl(PPh_3)],^{[9]} \quad [(\eta^3\text{-}C_3H_5)Pd(PPh_3)_2][BF_4],^{[17]} [Pd(\eta^3\text{-}Ph-C_3H_4)(\mu\text{-}Cl)]_2 \quad [Pd(\eta^3\text{-}Ph-C_3H_4)(PPh_3)]_2 \quad [20] \quad \text{and} \quad [Pd(dba)_2]^{[22]} \text{ were prepared according to published procedures.}$

UV Experiments: These were performed in a thermostated 1 mm path length cell on solutions of $[Pd(dba)_2]$ (11 mg, 0.02 mmol, 1 mmol dm⁻³) and 2 equivalents of PPh₃ (10 mg, 0.04 mmol) in 20 mL of DMF or THF with the appropriate amount of CH₂= CH-CH₂-OAc and nBu_4NCl (see text and Figure 1).

Electrochemical Set-up and Procedure for Voltammetry: Experiments were carried out in a three-electrode cell connected to a Schlenk line. The counter electrode was a platinum wire of ca. 1 cm² apparent surface area; the reference was a saturated calomel electrode (Tacussel) separated from the solution by a bridge filled with 3 mL of DMF containing nBu_4NBF_4 (0.3 g, 0.3 mol dm $^{-3}$). Cyclic voltammetry was performed at a steady gold disk electrode (diameter 0.5 mm) with a scan rate of 0.2 V s $^{-1}$ from a solution of [Pd(η 3 -C₃H₅)(μ-Cl)]₂ (9 mg, 0.024 mmol) and 4 equivalents of PPh₃ (25 mg, 0.096 mmol) in 12 mL of DMF containing nBu_4NBF_4 (1.2 g, 0.3 mol dm $^{-3}$).

Acknowledgments

This work has been supported by the Centre National de la Recherche Scientifique (CNRS, UMR 8640) and the Ministère de la Recherche (Ecole Normale Supérieure). We thank Professor H. J. Cristau from Montpellier University for a helpful discussion on the isomerization of allyltriphenylphosphonium cations.

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Received July 24, 2000 [100289]